

Check and enter date(s) as applicable		Subpart B – Assurances (20 U.S.C. 1434; 1435; and 1437(b); 34 CFR §§303.101-126; 303.220; 303.227)
Yes (Assurance is hereby provided.)	No (Assurance cannot be ensured. Provide date on which State will complete changes in order to provide assurance.)	
		<p>eligible under Part C of the Act and their families; and</p> <p>(c) Research and demonstration projects being conducted in the State relating to infants and toddlers with disabilities.</p> <p>(34 CFR §303.117)</p>
√		<p>11. The Statewide system includes a comprehensive system of personnel development, including the training of paraprofessionals and the training of primary referral sources with respect to the basic components of early intervention services available in the State. The State's comprehensive system of personnel development--</p> <p>(a) Includes--</p> <ol style="list-style-type: none"> (1) Training personnel to implement innovative strategies and activities for the recruitment and retention of EIS providers; (2) Promoting the preparation of EIS providers who are fully and appropriately qualified to provide early intervention services under Part C; and (3) Training personnel to coordinate transition services for infants and toddlers with disabilities who are transitioning from an early intervention services program under Part C of the Act to a preschool program under section 619 of the Act, Head Start, Early Head Start, an elementary school program under Part B of the Act, or another appropriate program. <p>(b) May include--</p> <ol style="list-style-type: none"> (1) Training personnel to work in rural and inner-city areas; (2) Training personnel in the emotional and social development of young children; (3) Training personnel to support families in participating fully in the development and implementation of the child's IFSP; and (4) Training personnel who provide services under this part using standards that are consistent with early learning personnel development standards funded under the State Advisory Council on Early Childhood Education and Care established under the Head Start Act, if applicable. (34 CFR §303.118)
√		<p>12. The Statewide system includes policies and procedures relating to the establishment and maintenance of qualification standards to ensure that personnel necessary to carry out the purposes of Part C are appropriately and adequately prepared and trained. These policies and procedures provide for the establishment and maintenance of</p>

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Yes <i>(Assurance is hereby provided.)</i>	No <i>(Assurance cannot be ensured. Provide date on which State will complete changes in order to provide assurance.)</i>	
		qualification standards that are consistent with any State-approved or State-recognized certification, licensing, registration, or other comparable requirements that apply to the profession, discipline, or area in which personnel are providing early intervention services. Nothing in Part C of the Act may be construed to prohibit the use of paraprofessionals and assistants who are appropriately trained and supervised in accordance with State law, regulation, or written policy, to assist in the provision of early intervention services under Part C of the Act to infants and toddlers with disabilities. (34 CFR §303.119(a) – (c))
√		<p>13. The Statewide system includes a single line of responsibility in a lead agency designated or established by the Governor that is responsible for the following--</p> <p>(a)(1) The general administration and supervision of programs and activities administered by agencies, institutions, organizations, and EIS providers receiving assistance under Part C of the Act; and</p> <p>(2) The monitoring of programs and activities used by the State to carry out Part C of the Act (whether or not the programs or activities are administered by agencies, institutions, organizations, and EIS providers that are receiving assistance under Part C of the Act), to ensure that the State complies with Part C of the Act, including--</p> <ul style="list-style-type: none"> (i) Monitoring agencies, institutions, organizations, and EIS providers used by the State to carry out Part C of the Act; (ii) Enforcing any obligations imposed on those agencies, institutions, organizations, and EIS providers under Part C of the Act and 34 CFR Part 303; (iii) Providing technical assistance, if necessary, to those agencies, institutions, organizations and EIS providers; (iv) Correcting any noncompliance identified through monitoring as soon as possible and in no case later than one year after the lead agency's identification of the noncompliance; and (v) Conducting the activities in paragraphs (a)(2)(i) through (a)(2)(iv) of this section, consistent with 34 CFR §§303.700 through 303.707, and any other activities required by the State under those sections. <p>(b) The identification and coordination of all available resources for early intervention services within the State, including those from Federal, State, local, and private sources, consistent with subpart F</p>

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Yes (Assurance is hereby provided.)	No (Assurance cannot be ensured. Provide date on which State will complete changes in order to provide assurance.)	
		<p>of 34 CFR Part 303.</p> <p>(c) The assignment of financial responsibility in accordance with subpart F of 34 CFR Part 303.</p> <p>(d) The development of procedures in accordance with subpart F of 34 CFR Part 303 to ensure that early intervention services are provided to infants and toddlers with disabilities and their families under Part C of the Act in a timely manner, pending the resolution of any disputes among public agencies or EIS providers.</p> <p>(e) The resolution of intra- and interagency disputes in accordance with subpart F of 34 CFR Part 303.</p> <p>(f) The entry into formal interagency agreements or other written methods of establishing financial responsibility, consistent with 34 CFR §303.511, that define the financial responsibility of each agency for paying for early intervention services (consistent with State law) and procedures for resolving disputes and that include all additional components necessary to ensure meaningful cooperation and coordination as set forth in subpart F of 34 CFR Part 303.</p> <p>(34 CFR §303.120)</p>
√		<p>14. The Statewide system includes a policy pertaining to the contracting or making of other arrangements with public or private individuals or agency service providers to provide early intervention services in the State, consistent with the provisions of Part C of the Act and 34 CFR Part 303, including the contents of the application, and the conditions of the contract or other arrangements. The policy --</p> <p>(a) Includes a requirement that all early intervention services must meet State standards and be consistent with the provisions of Part C; and</p> <p>(b) Is consistent with the Education Department General Administrative Regulations in 34 CFR Part 80.</p> <p>(34 CFR §303.121)</p>
√		<p>15. The Statewide system includes procedures for securing the timely reimbursement of funds used under Part C of the Act, in accordance with subpart F of 34 CFR Part 303. (34 CFR §303.122)</p>
√		<p>16. The Statewide system includes procedural safeguards that meet the requirements of subpart E of 34 CFR Part 303. (34 CFR §303.123)</p>

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Yes (Assurance is hereby provided.)	No (Assurance cannot be ensured. Provide date on which State will complete changes in order to provide assurance.)	
√		17. The Statewide system includes a system for compiling and reporting timely and accurate data that meets the requirements of 34 CFR §§303.700 through 303.702 and 303.720 through 303.724 and the following requirements. The data system includes a description of the process that the State uses, or will use, to compile data on infants or toddlers with disabilities receiving early intervention services under Part C, including a description of the State's sampling methods, if sampling is used, for reporting the data required by the Secretary under sections 616 and 618 of the IDEA and 34 CFR §§303.700 through 303.707 and 303.720 through 303.724. (34 CFR §303.124)
√		18. The Statewide system includes a State Interagency Coordinating Council (Council) that meets the requirements of subpart G of 34 CFR Part 303. (34 CFR §303.125)
√		19. The Statewide system includes policies and procedures to ensure, consistent with 34 CFR §§303.13(a)(8) (early intervention services), 303.26 (natural environments), and 303.344(d)(1)(ii) (content of an IFSP), that early intervention services for infants and toddlers with disabilities are provided-- (a) To the maximum extent appropriate, in natural environments; and (b) In settings other than the natural environment that are most appropriate, as determined by the parent and the IFSP Team, only when early intervention services cannot be achieved satisfactorily in a natural environment. (34 CFR §303.126)
√		20. The Statewide system ensures that Federal funds made available to the State under section 643 of the Act will be expended in accordance with the provisions of 34 CFR Part 303, including §§303.500 and 303.501. (34 CFR §303.221)
√		21. The Statewide system will comply with the requirements in §§303.510 and 303.511 in subpart F of this part. (34 CFR §303.222)
√		22. The Statewide system ensures that-- (a) The control of funds provided under 34 CFR Part 303, and title to property acquired with those funds, will be in a public agency for the

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Yes <i>(Assurance is hereby provided.)</i>	No <i>(Assurance cannot be ensured. Provide date on which State will complete changes in order to provide assurance.)</i>	
		uses and purposes provided in 34 CFR Part 303; and (b) A public agency will administer the funds and property. (34 CFR §303.223)
√		23. The Statewide system ensures that it will-- (a) Make reports in the form and containing the information that the Secretary may require; and (b) Keep records and afford access to those records as the Secretary may find necessary to ensure compliance with the requirements of 34 CFR Part 303, the correctness and verification of reports, and the proper disbursement of funds provided under 34 CFR Part 303. (34 CFR §303.224)
√		24. The Statewide system ensures that – (a) Federal funds made available under section 643 of the Act to the State – (1) Will not be commingled with State funds; and (2) Will be used so as to supplement the level of State and local funds expended for infants and toddlers with disabilities and their families and in no case to supplant those State and local funds. (b) To meet the requirement in paragraph (a) of this section, the total amount of State and local funds budgeted for expenditures in the current fiscal year for early intervention services for children eligible under this part and their families must be at least equal to the total amount of State and local funds actually expended for early intervention services for these children and their families in the most recent preceding fiscal year for which the information is available. Allowance may be made for— (1) A decrease in the number of infants and toddlers who are eligible to receive early intervention services under this part; and (2) Unusually large amounts of funds expended for such long-term purposes as the acquisition of equipment and the construction of facilities. (c) Requirement regarding indirect costs. (1) Except as provided in paragraph (c)(2) of this section, a lead agency under this part may not charge indirect costs to its Part C grant. (2) If approved by the lead agency's cognizant Federal agency or by the Secretary, the lead agency must charge indirect costs through either-- (i) A restricted indirect cost rate that meets the requirements in 34 CFR 76.560 through 76.569; or

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		<p>(ii) A cost allocation plan that meets the non-supplanting requirements in paragraph (b) of this section and 34 CFR Part 76 of EDGAR.</p> <p><u>(3) In charging indirect costs under paragraph (c)(2)(i) and (c)(2)(ii) of this section, the lead agency may not charge rent, occupancy, or space maintenance costs directly to the Part C grant, unless those costs are specifically approved in advance by the Secretary.</u></p> <p>(34 CFR §303.225)</p>
√		<p>25. The Statewide system ensures that fiscal control and fund accounting procedures will be adopted as necessary to ensure proper disbursement of, and accounting for, Federal funds paid under 34 CFR Part 303.</p> <p>(34 CFR §303.226)</p>
√		<p>26. The State ensures that policies and practices have been adopted to ensure that--</p> <p>(a) Traditionally underserved groups, including minority, low-income, homeless, and rural families and children with disabilities who are wards of the State, are meaningfully involved in the planning and implementation of all the requirements of Part C; and</p> <p>(b) These families have access to culturally competent services within their local geographical areas.</p> <p>(34 CFR §303.227)</p>
		Assurance Regarding Optional Policy
√		<p><i>Enter 'NA' in the cell to the left if this assurance is not applicable to your State.</i></p> <p>27. A State may adopt and has adopted a policy that includes making ongoing good-faith efforts to recruit and hire appropriately and adequately trained personnel to provide early intervention services to infants and toddlers with disabilities, including, in a geographic area of the State where there is a shortage of such personnel, the most qualified individuals available who are making satisfactory progress toward completing applicable course work necessary to meet the standards described in paragraphs (a) and (b) of this section. (34 CFR §303.119(d))</p>

C. Certifications

The State Lead Agency is providing the following certifications:

Yes	
√	<p>1. The State certifies that ED Form 80-0013, <i>Certification Regarding Lobbying</i>, is on file with the Secretary of Education.</p> <p>With respect to the <i>Certification Regarding Lobbying</i> the State recertifies that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; that the State shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 CFR Part 82, Appendix B); and that the State Agency shall require the full certification, as set forth in 34 CFR Part 82, Appendix A, in the award documents for all sub awards at all tiers.</p>
√	<p>2. The State certifies that it has met the certifications in the Education Department General Administrative Regulations (EDGAR) at 34 CFR §80.11 relating to State eligibility, authority and approval to submit and carry out the provisions of its State application, and consistency of that application with State law are in place within the State.</p>
√	<p>3. The State certifies that the arrangements to establish financial responsibility for the provision of Part C services among appropriate public agencies under §303.511 and the lead agency's contracts with EIS providers regarding financial responsibility for the provision of Part C services meet the requirements in §§303.500 through 303.521 and are current as of the date of submission of the certification. (34 CFR §303.202)</p>

D. Statement

I certify that the State of Delaware has provided the policies, procedures, methods, descriptions, and assurances checked as 'yes' in Sections II.A and II.B and the certifications required in Section II.C of this application. These provisions meet the requirements of Part C of the Individuals with Disabilities Education Act as found in 20 U.S.C. 1431-1443 and the final regulations in 34 CFR Part 303. The State will operate its Part C program in accordance with all of the required policies, procedures, methods, descriptions, assurances and certifications.

If any policies, procedures, methods, descriptions, and assurances have been checked 'no', I certify that the State will operate throughout the period of this grant award consistent with the requirements of the IDEA as found in 20 U.S.C. 1431-1443 and the final regulations 34 CFR Part 303, and will make such changes to existing policies and procedures as are necessary to bring those policies and procedures into compliance with the requirements of the IDEA, as amended, as soon as possible, and not later than June 30, 2016. (34 CFR §76.104)

I, the undersigned authorized official of the

Delaware Health and Social Services Infants and Toddlers with Disabilities,

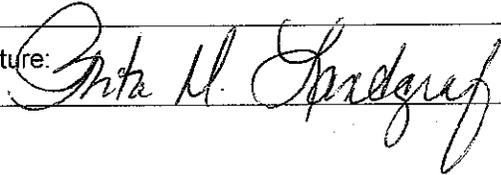
(Name of State and official name of State lead agency)

am designated under Part C by the Governor of this State to submit this application for FFY 2015 funds under Part C of the Individuals with Disabilities Education Act (IDEA).

Printed/Typed Name and Title of Authorized Representative of the State:

Rita M. Landgraf, Secretary

Signature:



Date:

January 26, 2015

Section III

A. Description of Use of Part C Funds for the Lead Agency

(Completion of this Section, III.A is optional for SEAs.)

When completing this section include:

- Totals for the number of lead agency administrative positions, salaries and fringe benefits funded either 100 percent and/or less than 100 percent with Part C funds;
- A general description of the duties which the positions entail; and
- A subtotal of the amount.

Identify any administrative positions for which less than 100% of the time is spent on Part C and, for each such position, indicate the percentage of time spent on Part C and the total amount of salary and fringe benefits included in the Part C application budget.

Positions Funded	Number of Positions	% of Time Spent on Part C	Salaries & Fringe Benefits	Description of Duties
100% funded with Part C Funds	10	100%	\$582,559	<p>Part C Coordinator ~ Provide leadership in developing policy for and maintaining a comprehensive statewide interagency service delivery system for eligible children and their families in accordance with Part C of the Individuals with Disabilities Education Act (IDEA). Manage the administrative functions of the Part C system, ensuring that expenditures are within budget and that all federal and state requirements are met in a timely manner. Prepare reports and budgets on the Part C Birth to Three System, as needed. Meet all Federal and State timelines for submission of required reports and applications. Coordinate and plan the activities of the Interagency Coordinating Council, working with the Chair to provide technical assistance as needed. Represent Part C in appropriate state committees and councils and in national groups and conferences and with the Natural Early Childhood Technical Assistance System (NEC*TAS) and Regional Resource Centers. Supervise Assistant Part C Coordinator, Training Administrator, Social Service Administrator, Accountant and Administrative Specialist I. Work closely within the Dept. of Health and Social Services with Divisions serving individuals with disabilities on joint opportunities. Coordinate evaluation projects for Child Development Watch, including surveys and child outcomes.</p> <p>Assistant Part C Coordinator ~ Assist in development of program policies and procedures, particularly those having to do with financing of early intervention services, their costs and system needs. Oversee billing system, working closely with EDS and Medicaid. Carry out contract management and monitoring responsibilities. Provide technical assistance to field staff and provider for data related to</p>

				<p>the December 1 Child Count. Provide data analysis for Annual Performance Report.</p> <p>Training Administrator ~ Develop, coordinate and when appropriate, provide training throughout the Birth to Three Early Intervention System. Coordinate personnel development with other state and private training opportunities. Provide technical assistance to staff regarding Early Intervention issues as necessary. Represent Part C on personnel development.</p> <p>Social Service Administrator ~ Have responsibility for negotiating with public and private providers and developing contracts with them. Provide technical assistance, in collaboration with Training Administrator to provide ongoing training to providers regarding Early Intervention issues. Manage and monitor contracts to ensure providers are following all regulations under Part C. Staff and coordinate quality management projects as identified on improvement plan.</p> <p>Application Support Specialist ~ Assist in systems analysis and design of the computer system to ensure that the ISIS tracking system meets Part C data needs. Analyze problems and formulate alternate solutions for data collection systems. Collaborate with Early Intervention system and computer users to develop programs that fulfill information system requirements.</p> <p>Social Service Specialist II ~ Support service coordinators by linking families, providers and primary care physicians in order to initiate services identified on the IFSP. Disseminate information to assist in the authorization of services from primary care physicians and providers. Act as liaison to address any documentation needed by providers and primary care physicians in order to provide services to eligible families.</p> <p>Trainer/Educator ~ Provide onsite training and technical assistance to Child Development Watch Service Coordinator staff. Support family service coordinators on family issues relating to the individual needs of families eligible under Part C. Collaborate with the Parent Information Center in the identification and provision of technical assistance and training opportunities for parents of young children with disabilities. Update technical assistance documents and family brochures. Research and present issues related to diversity from family perspective in Part C activities.</p> <p>Accountant ~ Be responsible for all aspects of program budget tracking including maintaining budget records and disbursing funds to appropriate contractors. Analyze and make recommendations regarding policies and procedures for data collection.</p>
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				<p>Research, compile, evaluate and prepare data for reports and special assignments. Coordinate and perform responsibilities associated with the parent education and public awareness, including the <i>Growing Together</i> portfolio of information given to all new parents. Provide staff support to the Interagency Coordinating Council.</p> <p>Administrative Specialist ~ Format and word process materials from written drafts. Provide staff support to Part C Coordinator, Assistant Part C Coordinator, Training Administrator and Accountant by performing such duties as composing correspondence, maintaining daily calendars, taking and transcribing minutes, scheduling meetings, conferences and screening mail. Be responsible for answering the telephone and deliver information to the public, state and federal personnel. Maintain and assemble notebooks for service coordinators, council members and hearing officers as directed by the Training Administrator.</p> <p>Planner III ~ Casual/Seasonal ~ Assist in researching policies and procedures used in other states to collect and monitor parent participation fees. Assist in establishing policies and procedures for parent participation fees and for the collection and data entry of TPL (third party liability) information to MMIS. Monitor direct service claims, provider rates, provider collections of co-pays and deductibles and enforce TPL inclusion in MMIS. Conduct analysis and provide reports on direct service claims. Assist in establishing procedures to conduct analysis and report on parent participation fees and on the implementation of revised PM37. Provide guidance and technical assistance to Child Development staff and early intervention providers on parent participation fees, revised PM37 and issues regarding private insurance co-pays and deductibles.</p>
< 100% funded with Part C Funds				
Subtotal of amount under A:			\$582,559	

Section III (Continued)

B. Maintenance and Implementation Activities for the Lead Agency

When completing this section include:

- A description of the nature and scope of each major activity to be carried out under Part C in maintaining and implementing the statewide system of early intervention services. Activities could include enhancing the Comprehensive System of Personnel Development, implementing child find strategies, or ensuring a timely, comprehensive, multidisciplinary evaluation for each child;
- The approximate amount of funds to be spent for each activity; and
- A subtotal of the amount.

(Add columns and rows as needed.)

Major Activity	Part C Funds to be Spent	Description of Activities
Child Find and Public Awareness	0	Child Find and Public Awareness include production and distribution of <i>Growing Together Portfolio</i> , which is given to all new parents giving birth in Delaware. Funds also cover production of the Growing Together User's Guide that is distributed to childcare providers throughout the State, and to Health Care Consultants trained from Health Child Care America Grant.
Comprehensive System of Personnel Developmental and Training	0	Training and Personnel development initiatives are coordinated with the Delaware's Institute for Excellence in Early Childhood and Delaware Family to Family Health Information Center.
Supplies and materials for Early Intervention programs	\$5,000	Funds for supplies and materials for the Birth to Three Early Intervention system and Child Development Watch programs, i.e., program brochures, family guide to transition, family guide, central directory, family rights booklet and internet guide.
Computer and other equipment for Early Intervention programs	0	Computer equipment and software to support entry of information into the statewide tracking system, ISIS, including referrals, eligibility, IFSP services and Dec. 1 count reports.
Audit	\$6,447	Funds to support audit costs within the Division of Management Services of Department of Health and Social Services.
Travel	\$5,000	Funds to support Birth to Three and Child Development Watch to travel to out of state conferences, including those sponsored by US Office of Education, Early Assistance System and Regional Resource Center.
Fleet Services, and other operating expenses for early intervention programs	\$23,000	Funds to support operations of early intervention programs and Birth to Three office, including telephone, instate travel to home and community visits by service coordinators instate travel to meetings, local training.
Contractual services	\$875,515	Contractual services for evaluations for eligibility with Child

for evaluations and service coordination for eligibility with Child Development Watch within the Division of Public Health		Development Watch, such as nutrition assessments, speech and language assessments, interpreters, early childhood educators and other consultants. Child Development Watch provides service coordination, and evaluations for children referred to Part C and for Part C eligible children.
Operational expenses for Child Development Watch within Division of Public Health	\$6,000	Operational expenses for Child Development Watch, such a supplies and training. Child Development Watch provides service coordination and evaluations for eligibility for Part C eligible children.
Subtotal of amount under B:	\$920,962	

Section III (Continued)

C. Description of Use of Part C Funds for the Interagency Coordinating Council (ICC)²

- When completing this section include: Totals for the number of ICC administrative positions, salaries and fringe benefits funded either 100 percent and/or less than 100 percent with Part C funds;
- A general description of the duties which the positions entail; and
- A subtotal of the amount.

Identify any administrative positions for which less than 100% of the time is spent on Part C and, for each such position, indicate the percentage of time spent on Part C and the total amount of salary and fringe benefits included in the Part C application budget.

Positions Funded	Number of Positions	% of Time Spent on Part C	Amount of Salaries & Fringe Benefits	Description of Duties
100% funded with Part C Funds	3	100%	\$37,842	<p>Part C Coordinator ~ Staff ICC meetings and various ICC committees. Work with Chair of ICC and provide technical assistance, as needed.</p> <p>Accountant ~ Responsible for working with Governor's office on membership. Maintain budget for ICC major activities. Staff ICC Executive meetings.</p> <p>Administrative Assistant ~ Responsible for mailings and schedule meetings for ICC. Maintain ICC orientation manual.</p>
< 100% funded with Part C Funds				
Subtotal of amount under C:			\$37,842	

² Federal Part C funds used to support the SICCC must meet the requirements of 34 CFR §303.603.

Section III (Continued)

D. Maintenance and Implementation Activities for the Interagency Coordinating Council (ICC)

When completing this section include:

- A description of the nature and scope of each major activity to be carried out under Part C in maintaining and implementing the statewide system of early intervention services. Activities could include coordinating child find identification efforts, ensuring the timely provision and payment of early intervention services to eligible children and their families, advising on early childhood transition, support for the ICC (travel), or other implementation and development activities of the SICC;
- The approximate amount of funds to be spent for each activity; and
- A subtotal of the amount.

(Add columns and rows as needed.)

Major Activity	Part C Funds to be Spent	Description of Activities
Administrative Support Activities	\$2,012	Funds to obtain services such as printing, room rental and other expenses incurred by the ICC carrying out of its duties.
Travel and Child Care Expenses	\$2,500	Funds used to reimburse ICC parent members for expenses to attend ICC meetings and representing ICC at instate and out of state meetings.
Hearings & Forum	\$1,000	Cost for hearings, forum and similar activities conducted in the performance of ICC duties.
Family Support Activities	\$ 500	Cost of support activities such as forums specifically designed for families and will cover such expenses as babysitting.
Subtotal of amount under D:	\$6,012	

Section III (Continued)

E. Direct Services (Funded by Part C Federal Dollars)

- When completing this section include a description of any direct early intervention service that the State lead agency expects to provide to eligible children and their families with funds under Part C, and the approximate amount for each direct service (States must disaggregate by service the approximate amount of funds expected to be expended for each direct service).

Description of Each Direct Early Intervention Service	Approximate Amount of Part C Funds to be Spent on Each Direct Service
Developmental Services ~ Early Childhood Education services in home and community settings. Types of services include treatment and assessment services.	\$247,423
Transportation ~ Transportation services to early intervention services, as indicated on IFSP.	\$7,520
Physical Therapy ~ services in home and community settings. Services are either provided individually or in a group setting. Types of services include treatment and assessment services.	\$101,604
Occupational Therapy ~ services in home and community settings. Services are either provided individually or in a group setting. Types of services include treatment and assessment services.	\$81,572
Speech/Language Therapy ~ services in home and community settings. Services are either provided individually or in a group setting. Types of services include treatment and assessment services.	\$162,422
Audiology, Assistive Technology, Social Work and Family Training ~ services in home and community setting. Services are either provided individually or in a group setting. Services include treatment and assessment services.	\$1,022
Subtotal of amount under E:	\$601,563

Section III (Continued)

F. Description of Optional Use of Part C Funds

If the State uses Part C funds for initiating, expanding, or improving collaborative efforts related to at-risk infants and toddlers, the application must include:

- The name of the major activity ;
- The approximate amount of funds to be spent; and
- A description of the activities.

Provide subtotal of amount. *(Add columns and rows as needed.)*

Major Activity	Part C Funds to be Spent	Description of Activities
Subtotal of amount under F:		

Section III (Continued)

G. Activities by Other Agencies

If other State or local public agencies are to receive a portion of the Federal funds under Part C, the Application must include:

- The name of each public agency expected to receive funds;
- The approximate amount of funds each public agency will receive; and
- A summary of the purposes for which the funds will be used.

Provide subtotal of amount. *(Add columns and rows as needed.)*

Agency Receiving Funds	Amount of Funds	Purpose
Subtotal of amount under G:		

Section III (Continued)

H. Totals

Enter the subtotal amounts for Sub Sections A-G found in Section III and any indirect costs charged as specified in Section IV.B. The sub total amounts (Rows 1-8) should total the estimated grant application amount. (A State may apply for less than the full estimated allotted amount.)

Enter the subtotal amounts for Sub Sections A-G found in Section III of this application.		
Row No.	Section	Amount
1.	III.A.	\$582,559
2.	III.B.	\$920,962
3.	III.C.	\$37,842
4.	III.D.	\$6,012
5.	III.E.	\$601,563
6.	III.F.	\$0
7.	III G.	\$0
Enter any Indirect Costs Charged (See Section IV.B of this application.)		
8.	IV.B	\$
Total (Rows 1-8)		\$2,148,938

Section IV

A. System of Payments / Use of Insurance / Program Income

The State

does (check as applicable)

does not (check as applicable)

have a system of payments for Part C services under 34 CFR §§303.203(b)(1), 303.500(b), 303.520, and 303.521 which may include use of public benefits or insurance, private insurance or family fees, such as a sliding scale. Any family fees are treated as 'program income' for purposes of 34 CFR §§80.25 and 303.520(e) and are not included in the State's determination of State and local expenditures for purposes of 20 U.S.C. 1437(b)(5)(B) and 34 CFR §303.225(a) and (b). *Note: If the State has adopted new or has revised its existing policies and procedures regarding its system of payments, it must submit these new and/or revised policies and procedures under Item 3.a in Section II.A above.*

B. Restricted Indirect Cost Rate/Cost Allocation Plan Information

(Note: To be completed if Lead Agency is not a State Educational Agency)

Under 34 CFR §303.225(c), a lead agency may not charge indirect costs to its Part C grant unless the lead agency charges indirect costs through either-- (i) A restricted indirect cost rate that meets the requirements in 34 CFR §§76.560 through 76.569; or (ii) A cost allocation plan that meets the non-supplanting requirements in paragraph (b) of this section and 34 CFR part 76 of EDGAR.

1. If the lead agency is not a State educational agency (as well as any outlying areas that have the Department of Interior as its cognizant Federal agency, even if an SEA) check the applicable status below (more than one check mark may be necessary) and enclose appropriate documentation for this Federal Fiscal Year.

The lead agency has a final restricted indirect cost rate or cost allocation plan that has been approved by the State lead agency's cognizant Federal agency and is in effect for this Federal fiscal year (FFY) (ending on June 30, 2016). (Attach a copy of the approved restricted indirect cost rate agreement or cost allocation plan.)

The lead agency has either a provisional or final restricted indirect cost rate or cost allocation plan that expires or expired on _____ and the State is in the process of negotiating a new restricted indirect cost rate agreement or cost allocation plan that will be in effect for the period _____.³ The State lead agency will continue to charge or bill the Part C grant using the provisional or previously approved final restricted indirect cost rate or cost allocation plan until a new rate or plan is negotiated and approved by the State's cognizant Federal agency, at which point the State lead agency must make appropriate adjustments for applicable FFYs. The State acknowledges that a final restricted indirect cost rate may result in an adjustment of the final audited expenditures allowable to be charged to the Part C grant and the Department's approval of this FFY Part C application with an expired or provisional restricted indirect cost rate does not constitute approval of that rate as the final rate for the lead agency for this FFY. When a final restricted indirect cost rate is approved, the lead agency must submit to OSEP: (1) a copy of the "final" restricted indirect cost rate agreement; and (2) details of adjustments made to past GAPS draw downs in light of the "final" rate. (Attach a copy of the previously approved restricted indirect cost rate agreement or cost allocation plan.)

No indirect costs are charged to the Part C grant. The total amount of the Federal Part C grant is used for allowable direct costs.

³ A "provisional" indirect cost rate is a temporary rate established for a future prospective period of time to permit budgeting, obligations, and payment of funds by awarding agencies until such time as the actual indirect costs can be determined and a final rate is established for the applicable period; provisional rates are subject to adjustment by issuance of a "final" rate based on actual indirect costs incurred for the period (usually the organization's fiscal year).

_____ Other, explanation attached.

2. Check if applicable.

_____ Under 34 CFR §303.225(d), the lead agency may not charge rent, occupancy, or space maintenance costs directly to the Part C grant, unless those costs are specifically approved in advance by the Secretary. The lead agency is requesting the Secretary's approval to charge rent, occupancy or space maintenance costs either directly or indirectly to Part C FFY 2014 funds. If checked, the lead agency must attach to this Application a description of the amount to be charged, all uses of the space, and the proposed method of charging.